

1. DATA PRIVACY

1.1. Data Protection Legislation. Each party will at all times comply with the Data Protection Legislation in respect of its processing of Personally Identifiable Information.

1.2. Role of Thomson Reuters. The parties acknowledge that, in relation to any Service, Thomson Reuters may process Personally Identifiable Information as Processor and/or Controller or (where Thomson Reuters does not process Personally Identifiable Information in the context of that Service) as neither Controller nor Processor. Further information on Thomson Reuters' role in relation to a specific Service may be set out in product information made available by Thomson Reuters from time to time at www.tr.com/privacy-information.

1.3. Use of PII. Thomson Reuters may process Personally Identifiable Information for the purpose of or in connection with: (i) carrying out relevant diligence and administrative tasks prior to the provision of the Services; (ii) providing the Services; (iii) as permitted or in accordance with law (the "Purposes").

1.4. Thomson Reuters as Processor. To the extent that Thomson Reuters processes Client Personal Data as Processor of Client pursuant to this Agreement, the following provisions of this paragraph 1.4 shall apply:

1.4.1. *Scope of processing.* The subject matter, nature, purpose and duration of Thomson Reuters' processing of Client Personal Data as Processor of Client is set out, in respect of a Service (where applicable) in product information made available by Thomson Reuters from time to time at www.tr.com/privacy-information. Information on the types of Client Personal Data processed and the categories of data subjects is also available at such web address.

1.4.2. *Documented instructions for processing.* Thomson Reuters, as Processor, will only process Client Personal Data on the documented instructions of Client unless required to process that Client Personal Data for other purposes by EU Law. Where such a requirement is placed on Thomson Reuters, it shall provide prior notice to Client unless the relevant law prohibits the giving of notice.

1.4.3. *Processor obligations.* Notwithstanding anything to the contrary in this Agreement, with effect from 25 May 2018, Thomson Reuters shall comply with the express obligations of a Processor as set out in Articles 28(3)(b) to 28(3)(h) inclusive of the GDPR, provided that: (a) Client may not instruct Thomson Reuters to delete copies of data that it holds on its own behalf as Controller; and (b) the requirements of Article 28(3)(b) of the GDPR shall not apply to persons that Thomson Reuters is

required by applicable laws or regulatory requirements to grant access to Client Personal Data.

1.4.4. *General Authorization for Sub-processing.* Client provides a general authorization to Thomson Reuters to engage further Processors to process Client Personal Data. A list of those further Processors is available via publication on www.tr.com/privacy-information and Thomson Reuters shall give Client notice of any intended addition to or replacement of those further Processors by updating that list from time to time. If Client reasonably objects to a change to the list, at Thomson Reuters' option Thomson Reuters will either: (i) give Client an opportunity to pay for a version of the relevant part of the Service without use of the Processor to which Client objects; or (ii) terminate the provision of the affected part of the Service to Client immediately upon notice.

1.4.5.

request from Client, Thomson Reuters shall, in fulfilment of its obligation to demonstrate compliance with this paragraph 1.4 (and any other relevant parts of paragraph 1), make available to Client information on its processing of Client Personal Data. At Thomson Reuters' discretion, such information may take the form of certificates, third party audit reports or other relevant information.

1.5. Thomson Reuters as Controller. The parties acknowledge that Thomson Reuters may process Personally Identifiable Information as Controller, and that in such circumstances the provisions of this paragraph 1.5 shall apply:

1.5.1. *Thomson Reuters Privacy Notice.* The Client acknowledges that Thomson Reuters has made a privacy notice for each Service available to the Client (each a "**Privacy Notice**"). The Client shall take reasonable steps to bring this Privacy Notice to the attention of any individuals that Client makes the Service available to (or requests Thomson Reuters to deal with or carry out research on in the context of the Services).

1.5.2. *Client as Separate Controller.* The parties acknowledge that where Thomson Reuters acts as Controller in the provision of the Services, Client acts as a Controller in respect of any Personally Identifiable Information it chooses to record as a result of its receipt and use of the Services and that, in such circumstances, Client will be responsible for the use and receipt of the Services in accordance with Data Protection Legislation.

GDPR Annex

- 1.6. Joint Responsibility. The parties acknowledge and agree that they may be jointly responsible for the processing of Personally Identifiable Information to the extent specified in any applicable Schedule or product information and that in such circumstances their respective responsibilities in relation to that processing are as stated in the Schedule or product information.
- 1.7. Transfers outside of the EEA. The parties acknowledge and agree that Thomson Reuters may transfer Client Personal Data outside of the EEA where permitted for that transfer under Articles 44 to 49 of the GDPR.
- 1.8. Client-Provided Data. Client shall ensure that any Client Personal Data has been collected and disclosed in accordance with Data Protection Legislation. When using the Services or accessing Thomson Reuters' systems or any other information held by Thomson Reuters, Client shall ensure that it does not input, upload or disclose to Thomson Reuters, or allow any other third party to disclose on its behalf, any irrelevant or excessive information about individuals.